



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/617,828

Confirmation No. 5222

Applicant : A. NAGASAKA et al.

Filed : July 14, 2003

Titled : PERSONAL AUTHENTICATION DEVICE

TC/AU : 2185

Examiner : TBD

Docket No. : ASA-1141

Customer No.: 24956

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination on the merits, Applicants respectfully request that the application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper; and

Remarks begin on page 9 of this paper.

10/06/2005 SZEWDIE1 00000119 10617828

01 FC:1201
02 FC:1202

200.00 OP
200.00 OP



IFW

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2005

Effective 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 530.00

Complete if Known	
Application Number	10/617,828
Filing Date	July 14, 2003
First Named Inventor	A. NAGASAKA
Attorney Docket No.	ASA-1141

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit Account Number: 50-1417

Deposit Account Name: MATTINGLY, STANGER & MALUR, P.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1011	2011	150	Utility filing fee	
1012	2012	105	Design filing fee	
1013	2013	100	Plant filing fee	
1014	2014	150	Reissue filing fee	
1005	2005	100	Provisional filing fee	
1111	2111	250	Utility Search fee	
1112	2112	50	Design Search fee	
1113	2113	150	Plant Search fee	
1114	2114	250	Reissue Search fee	
1311	2311	100	Utility Ex. fee	
1312	2312	65	Design Ex. fee	
1313	2313	80	Plant Ex. fee	
1314	2314	300	Reissue Ex. fee	
SUBTOTAL (1)				0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	24	-20 = 4	x 50	= 200.00
Indep. Claims	4	-3 = 1	x 200	= 200.00
Multiple Dependent			360	= 0.00
Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	
1202	2202	25	Claims in excess of 20	
1201	2201	100	Independent claims in excess of 3	
1203	2203	180	Multiple dependent claim, if not paid	
1204	2204	100	** Reissue independent claims over original patent	
1205	2205	25	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) \$				400.00

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1081	250	2081	125	Utility Application Size fee – for each 50 over 100	
1082	250	2082	125	Design Application Size fee – for each 50 over 100	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive – unavoidable	
1453	1,500	2453	750	Petition to revive – unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application.	
Other fee (specify)		1464	PETITION TO MAKE SPECIAL		130
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	
					130.00

SUBMITTED BY

Name (Print/Type)		Registration No. (Attorney/Agent)		Telephone	
Colin D. Barnitz		35,061		(703) 684-1120	
Signature		Date		October 5, 2005	

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public (such as a fee) and by the USPTO to process an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is designed to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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